Upholding Publication Integrity: Actionable steps for journals and publishers

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In an era where scientific research drives critical decisions in healthcare, policy, and innovation, publication integrity has never been more essential. Yet, maintaining the reliability of published studies can be challenging, with many journals grappling to address integrity concerns effectively. In my role supporting journals and publishers, I see firsthand the complexities of handling questionable research. The good news? There are several actionable steps publishers can take to improve publication integrity and ensure the credibility of scientific literature.

Moreover, recent statistics underline the urgency of these efforts. For example, a <u>2020</u> <u>analysis of clinical trial</u> reports submitted to Anesthesia revealed that a staggering 44% contained false data, showcasing a troubling prevalence of dubious publications.

Additionally, a <u>comprehensive review</u> published in 2021 analyzed data from 2011 to 2020, revealing that approximately 2.9% of researchers admitted to engaging in fabrication, falsification, or plagiarism at least once. Additionally, 12.5% acknowledged using questionable research practices, such as inadequate note-taking or failing to disclose study flaws. Another piece by <u>Nature</u> highlighted a significant rise in the



number of retracted biomedical papers, increasing from about 1,600 in 2013 to 10,000 in 2023. Notably, two-thirds of these retractions were due to research misconduct, including data fabrication and falsification. The National Institutes of Health (NIH) reported a substantial increase in research integrity allegations over the past five years, handling an average of 100 violations annually up to around 2017, with numbers rising precipitously thereafter. These allegations encompass traditional research misconduct and professional misconduct, such as peer review violations and grant fraud. These findings emphasize the pressing need for stronger integrity protocols.

Here I have highlighted 6 actionable steps after looking at all what has been discussed so far and referring to some reliable resources:

1. Implement Clear Timeframes for Investigations

One significant hurdle in publication integrity is the absence of clear timelines for integrity investigations. Currently, the process for assessing a paper flagged for issues can be prolonged, with minimal accountability. Here's how journals can set clearer expectations:

Define Specific Investigation Phases:

Establish a transparent roadmap for addressing flagged publications, from initial assessment to decision-making. Each phase should have a realistic but defined timeframe, ideally concluding within six months.

Establish Immediate Notifications:

When an investigation is initiated, journals should publish an initial editorial note visible on the paper and in bibliographic databases. This ensures that readers are aware of potential issues and can make informed decisions.

2. Enhance Transparency in Reporting Concerns

Transparency is key to building trust in the publication process. When a paper's integrity is questioned, providing detailed information about the issue and investigation process helps readers understand the full context. Here's how to promote transparency:

• Detail the Nature of Concerns:

Rather than issuing vague expressions of concern, journals should provide clear, specific descriptions of the flagged issues, author responses, and investigation



outcomes.

Outline Investigation Steps:

A transparent summary of how the investigation unfolded, from the timeline to the actions taken, will give readers a clearer view of the journal's commitment to maintaining research quality.

3. Strengthen Pre-Publication Checks

Preemptive checks are invaluable in reducing the likelihood of integrity concerns arising post-publication. By implementing systematic assessments during submission, journals can catch issues early and uphold higher publication standards.

Collect and Verify Study Information:

Gathering detailed information on ethical oversight, research infrastructure, and statistical methods as part of the submission process can help filter out studies lacking integrity.

• Engage Statistical and Ethical Review Experts:

Bringing in specialized reviewers to examine raw data, methodologies, and ethical considerations can significantly reduce the volume of unreliable studies entering the publication pipeline.

4. Shift the Focus to Research Reliability Over Researcher Behavior

It's important to remember that the ultimate goal is to ensure reliable research, not to assign blame. Focusing investigations on the integrity of the study itself rather than the researchers behind it can streamline assessments and prioritize the quality of published research.

• Emphasize Research Quality, Not Misconduct:

Journals can adopt neutral, respectful language in integrity notices, focusing on the reliability of the findings rather than on accusatory terms like "misconduct."



• Limit Institutional Involvement in Preliminary Stages:

While institutions play a key role in addressing researcher behavior, initial investigations should be focused on the research itself. In cases of verified reliability concerns, institutional consultation can follow as needed.

5. Leverage Independent Review Panels for Integrity Assessments

To ensure unbiased, methodical evaluations, journals and publishers should consider setting up independent panels specifically focused on publication integrity.

• Establish Independent Assessment Panels:

Funded by publishers but functioning independently, these panels should include experts in fields such as research ethics, statistical analysis, and publication integrity. Partner with <u>trusted service providers</u> or vendors to establish this model to ensure uninterrupted operations and achieve cost efficiency.

• Expand Scope for Systematic Audits:

When a serious issue is identified in one publication, the panel should review other publications from the same research group to ensure consistency in quality.

6. Adopt Emerging Tools and Technologies for Integrity Checking

The landscape of publication integrity is evolving, and technological advancements offer new ways to maintain high standards in scholarly publishing.

• Use Plagiarism and Data Verification Tools:

Automated tools for <u>plagiarism checking</u> and data validation can quickly flag potential issues during submission and peer review stages.

Integrate Checklists for Research Quality:

Evidence-based checklists for different study types can help reviewers and editors systematically assess key quality indicators, ensuring consistency and rigor.

Conclusion: Prioritizing integrity for long-term trust

By adopting these actionable steps, journals and publishers can improve their approach to publication integrity, fostering a scientific literature that readers can trust. COPE or the Committee for Publication Ethics can be instrumental here in setting some of these guidelines. This isn't just about avoiding retractions or tarnishing reputations—it's about prioritizing the reliability of the research that guides real-world decisions. Each action, from clear timelines to independent review panels, contributes to a publishing landscape that values quality and transparency.

With these strategies — and with critical data reminding us of the need for vigilance—we can move toward a more rigorous, trustworthy, and transparent publishing environment that truly upholds the principles of scientific integrity.

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